

03-28-01

A

Express Mail Mailing Label No. EL750475388US

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SRT-006C

First Named Inventor Horvath

Title Dynamically Extendible Firewall

 09/01/2001
 U.S. PRO
 03/28/01
APPLICATION ELEMENTS
 ADDRESS TO: **Box Patent Application**
Assistant Commissioner for Patents
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
2. ☐ Small Entity Status
☐ Applicant claims small entity status
☐ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 57]
 - Written Description - (37 pages)
 - Claims - (5 pages)
 - Abstract - (1 page)
 - Sheets of Drawings - (14 sheets)
☐ Formal
☒ Informal
4. ☒ Oath or Declaration [Total Pages 3]
 a. ☒ Newly executed (original)
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
5. ☐ Incorporation by Reference (usable if Box 4b is checked)
 The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ CD (identical to computer copy)
☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
9. ☐ English Translation Document *(if applicable)*
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
☐ Drawings [Total Sheets]
☐ Letter to Official Draftsperson Including Drawings [Total Pages]
12. ☒ Return Receipt Postcard
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Deletion of Inventor(s)
 Signed statement attached deleting inventor(s) named in the prior application.
15. ☐ CD in duplicate for large table or computer program.
16. ☐ Other:

17. ☐ If a **CONTINUING APPLICATION**, amend the specification by inserting on page 1, before the first line, the sentence:
 --This is a ☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , , the entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: . Group/Art Unit: .

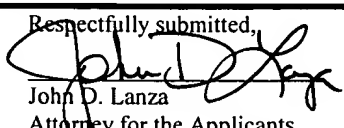
18. ☐ **Priority - 35 U.S.C. 119**
☐ Priority of application Serial No. filed on in is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior U.S. application Serial No. / on .
☐ The certified copy will follow.

CORRESPONDENCE ADDRESS
 Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100
SIGNATURE BLOCK
 Date: March 26, 2001
 Reg. No. 40,060
 Tel. No.: (617) 248-7604
 Fax No.: (617) 248-7100
 Respectfully submitted,
 John D. Lanza
 Attorney for Applicant
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

BLASIRS\5049\7.2023394_1

FEE TRANSMITTAL **FY 2001**

	<i>Complete Known</i>
Application Serial Number	Not Yet Assigned
Filing Date	Filed Herewith
First Named Inventor	Horvath
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRT-006CP

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	3. ADDITIONAL FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> </tbody> </table>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for reexamination		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		180	180	Submission of Information Disclosure Statement		710	355	Filing a submission after final rejection (37 CFR 1.129(a))		710	355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (Specify)				Other fee (Specify)			
Large Entity Fee (\$)		Small Entity Fee (\$)	Fee Description	Fee Paid																																																																													
130		65	Surcharge - late filing fee or oath																																																																														
50		25	Surcharge - late provisional filing fee or cover sheet																																																																														
130	130	Non-English specification																																																																															
2,520	2,520	For filing a request for reexamination																																																																															
110	55	Extension for reply within first month																																																																															
390	195	Extension for reply within second month																																																																															
890	445	Extension for reply within third month																																																																															
1,390	695	Extension for reply within fourth month																																																																															
1,890	945	Extension for reply within fifth month																																																																															
310	155	Notice of Appeal																																																																															
310	155	Filing a brief in support of an appeal																																																																															
270	135	Request for oral hearing																																																																															
130	130	Petitions to the Commissioner																																																																															
50	50	Petitions related to provisional applications																																																																															
180	180	Submission of Information Disclosure Statement																																																																															
710	355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																															
710	355	For each additional invention to be examined (37 CFR 1.129(b))																																																																															
Other fee (Specify)																																																																																	
Other fee (Specify)																																																																																	
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit. 3. <input type="checkbox"/> Applicant claims small entity status.																																																																																	
FEE CALCULATION																																																																																	
1. FILING FEE <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>710</td><td>Utility filing fee</td><td>710.00</td></tr> <tr><td>320</td><td>Design filing fee</td><td></td></tr> <tr><td>150</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Number Filed</th> <th>Number Extra</th> <th>Rate</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>18</td> <td>- 20 = 0</td> <td>x \$ 18.00 =</td> <td>\$ 0.00</td> </tr> <tr> <td>Independent Claims</td> <td>2</td> <td>- 3 = 0</td> <td>x \$ 80.00 =</td> <td>\$ 0.00</td> </tr> </tbody> </table> <p><input type="checkbox"/> Multiple Dependent Claim(s), if any \$270.00 =</p> <p style="text-align: right;">TOTAL: 710.00</p> <p style="text-align: center;">SMALL ENTITY DISCOUNT:</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>SUBTOTAL (1)</td> <td>(\$)</td> <td>710.00</td> </tr> </table>	Large Entity Fee (\$)	Fee Description	Fee Paid	710	Utility filing fee	710.00	320	Design filing fee		150	Provisional filing fee			Number Filed	Number Extra	Rate	Amount	Total Claims	18	- 20 = 0	x \$ 18.00 =	\$ 0.00	Independent Claims	2	- 3 = 0	x \$ 80.00 =	\$ 0.00	SUBTOTAL (1)	(\$)	710.00																																																			
Large Entity Fee (\$)	Fee Description	Fee Paid																																																																															
710	Utility filing fee	710.00																																																																															
320	Design filing fee																																																																																
150	Provisional filing fee																																																																																
	Number Filed	Number Extra	Rate	Amount																																																																													
Total Claims	18	- 20 = 0	x \$ 18.00 =	\$ 0.00																																																																													
Independent Claims	2	- 3 = 0	x \$ 80.00 =	\$ 0.00																																																																													
SUBTOTAL (1)	(\$)	710.00																																																																															
2. AMENDMENT CLAIM FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Claims Remaining After Amend.</th> <th>Highest No. Previously Paid For</th> <th>Present Extra</th> <th>Rate</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>-</td> <td>=</td> <td>x \$ 18.00 =</td> <td></td> </tr> <tr> <td>Indep.</td> <td>-</td> <td>=</td> <td>x \$ 80.00 =</td> <td></td> </tr> <tr> <td colspan="3"><input type="checkbox"/> First Presentation of Multiple Dep. Claim</td> <td>+ \$270.00 =</td> <td></td> </tr> <tr> <td colspan="3">TOTAL:</td> <td>(\$)</td> <td></td> </tr> <tr> <td colspan="3">SMALL ENTITY DISCOUNT:</td> <td>(\$)</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (2)</td> <td>(\$)</td> <td>0.00</td> </tr> </tbody> </table>	Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid	Total	-	=	x \$ 18.00 =		Indep.	-	=	x \$ 80.00 =		<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$270.00 =		TOTAL:			(\$)		SMALL ENTITY DISCOUNT:			(\$)		SUBTOTAL (2)			(\$)	0.00	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>SUBTOTAL (3)</td> <td>(\$)</td> <td>0.00</td> </tr> <tr> <td>SUBTOTAL (1)</td> <td></td> <td>710.00</td> </tr> <tr> <td>SUBTOTAL (2)</td> <td></td> <td>0.00</td> </tr> <tr> <td>SUBTOTAL (3)</td> <td></td> <td>0.00</td> </tr> <tr> <td colspan="2">TOTAL</td> <td>(\$)</td> <td>710.00</td> </tr> </table>	SUBTOTAL (3)	(\$)	0.00	SUBTOTAL (1)		710.00	SUBTOTAL (2)		0.00	SUBTOTAL (3)		0.00	TOTAL		(\$)	710.00																													
Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid																																																																													
Total	-	=	x \$ 18.00 =																																																																														
Indep.	-	=	x \$ 80.00 =																																																																														
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$270.00 =																																																																														
TOTAL:			(\$)																																																																														
SMALL ENTITY DISCOUNT:			(\$)																																																																														
SUBTOTAL (2)			(\$)	0.00																																																																													
SUBTOTAL (3)	(\$)	0.00																																																																															
SUBTOTAL (1)		710.00																																																																															
SUBTOTAL (2)		0.00																																																																															
SUBTOTAL (3)		0.00																																																																															
TOTAL		(\$)	710.00																																																																														
CORRESPONDENCE ADDRESS																																																																																	
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100																																																																																	
SIGNATURE BLOCK																																																																																	
Date: March 28, 2001 Reg. No.: 40,060 Tel. No.: (617) 248-7604 Fax No.: (617) 248-7100 Respectfully submitted,  John D. Lanza Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																	